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From-BROWDY NEIMARK

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T-306 P.001

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BROWDY AND NEIMARK, P.L.L.C.

ATTORNEYS AT LAW

PATENT AND TRADEMARK CAUSES

SUITE 300

624 NINTH STREET, N.W.

WASHINGTON, D.C. 20001-5303

TELEPHONE (202)-628-5197

SHERIDAN NEIMARK  
ROGER L. BROWDY

ANNE M. KORNBAU  
NORMAN J. LATKER  
DIANA MICHELLE SOBO  
AOI NAWASHIRO

OF COUNSEL  
IVER P. COOPER  
JAY M. FINKELSTEIN

ALVIN BROWDY (1917-1998)

PATENT AGENT

ALLEN C. YUN, PH.D.

TELECOPIER FACSIMILE  
(202) 737-3528  
(202) 393-012

E-MAIL  
mail@browdyneimark.com

\*ADMITTED IN FL ONLY  
PRACTICE SUPERVISED BY  
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TELEFAX CONTROL SHEET

SENT TO:

Examiner John Le Guyder

DATE SENT:

July 25, 2002

SUBJECT:

USPN 09/671,687

No. of pages (including this cover sheet):

17

FROM:

Allen Yun

Remarks:

Faxed herewith is a copy of the  
Response to Notice to Comply filed December 20,  
2001, freshly resigned.

Timely filed.

use 12/20/01 as

CofM.

CONFIDENTIALITY NOTE

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Received from <2027373528> at 7/25/02 10:16:21 AM [Eastern Daylight Time]

Seq. 44 disk processed  
1/11/02 per ERB entry.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: WALLACH et al.

Art Unit: 1635

Application No.: 09/871,687

Examiner: Thomas George Larson

Filed: September 28, 2000

Washington, D.C.

For: INHIBITOR OF NF-KB ACTIVATION

Atty.'s Docket: WALLACH=25

Date: December 20, 2001

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith is a ☐ Amendment ☒ Response to Notice to Comply with Sequence Listing Requirements  
in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA EQUALS
TOTAL	MINUS	20
INDEP.	MINUS	3
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM		

SMALL ENTITY	
RATE	ADDITIONAL FEE
x 9	\$
x 42	\$
+ 140	\$
ADDITIONAL FEE TOTAL	
\$	

OTHER THAN SMALL ENTITY	
RATE	ADDITIONAL FEE
x 18	\$
x 84	\$
+ 280	\$
TOTAL	
\$	

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

☒ Conditional Petition for Extension of Time

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

- ☐ It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

Small Entity  
Response Filed Within

☐ First - \$ 55.00

☐ Second - \$ 200.00

☐ Third - \$ 460.00

☐ Fourth - \$ 720.00

Month After Time Period Set

Other Than Small Entity  
Response Filed Within

☐ First - \$ 110.00

☐ Second - \$ 400.00

☐ Third - \$ 920.00

☐ Fourth - \$ 1440.00

Month After Time Period Set

- ☐ Less fees (\$ ) already paid for month(s) extension of time on .

- ☐ Please charge my Deposit Account No. 02-4035 in the amount of \$ .
- ☐ Credit Card Payment Form, PTO-2038, is attached, authorizing payment in the amount of \$ .
- ☐ A check in the amount of \$ is attached (check no. ).

- ☒ The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR §1.18.

BROWDY AND NEIMARK

Attorneys for Applicant(s)

By:   
Allen C. Yun  
Registration No. 37,971

Facsimile: (202) 737-3528  
Telephone: (202) 628-6167

**Notice to Comply**Application No.  
09/671687Applicant(s)  
Wallach et al.Examiner  
T. LarsonArt Unit  
1635**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

Patent Software Program Support

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